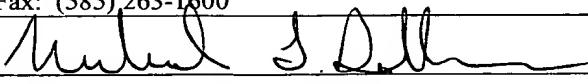
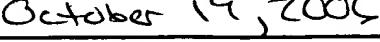


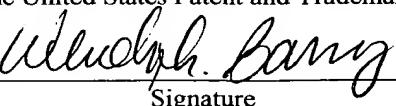
JFW/1621



TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/576,370
		Filing Date	May 22, 2000
		First Named Inventor	Robert H. Grubbs
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	9	Attorney Docket Number	37599/9

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply (\$_____) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (\$_____) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement (\$_____) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Notice to File Missing Parts/ Incomplete Application (\$_____) <input type="checkbox"/> A copy of the Notice to File Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition (\$_____) <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer (\$_____) <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (\$_____) (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input checked="" type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input type="checkbox"/> Check in the amount of \$_____ <input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b) (with attachments)		
		Remarks	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Michael L. Goldman Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1304 Fax: (585) 263-1600	
Signature	 Registration No. 30,727	
Date		

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]		
I hereby certify that this correspondence is being:		
<input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop _____, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450		
<input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____.		
<u>October 19, 2006</u> Date		 Signature Wendy L. Barry Typed or printed name



PTO/SB/81 (01-06)

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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	09/576,370
Filing Date	May 22, 2000
First Named Inventor	GRUBBS, Robert H.
Title	
Art Unit	
Examiner Name	
Attorney Docket Number	

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

 Practitioners associated with the Customer Number:

OR

 Practitioner(s) named below:

Name	Registration Number
Ronald I. Eisenstein	30,628
Michael L. Goldman	30,727

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

 The address associated with the above-mentioned Customer Number:

OR

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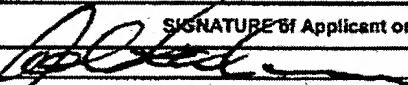
OR

<input checked="" type="checkbox"/> Firm or Individual Name	Nixon Peabody LLP		
Address	Clinton Square P.O. Box 31051		
City	Rochester	State	NY
Country	US	Zip	14603
Telephone	(585) 263-1600	Email	<input type="text"/>

I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

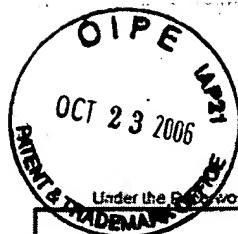
Signature		Date	OCT 11 2006
Name	Adam Cochran	Telephone	626-395-4567
Title and Company	The Intellectual Property Counsel		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application, preparing, and submitting the completed application form to the USPTO. It may also be necessary to take certain steps in order to take advantage of the benefits of this collection of information. Comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/90 (09-06)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Robert H. GrubbsApplication No./Patent No.: 09/576,370 Filed/Issue Date: May 22, 2000Entitled: **IMIDAZOLIDINE-BASED METAL CARBENE METATHESIS CATALYSTS**

California Institute of Technology, B University
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:

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The document was recorded in the United States Patent and Trademark Office at
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2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

OCT 11 2007

Date

626-395-4567

Telephone Number

Printed or Typed Name
Adam Cochran
Title
The Intellectual Property Counsel

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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JANUARY 13, 2005

PTAS

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REED INTELLECTUAL PROPERTY LAW GROUP
DIANNE E. REED
800 MENLO AVENUE, SUITE 210
PALO ALTO, CA 94304-1126

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RECORDATION DATE: 01/06/2005

REEL/FRAME: 015559/0060
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 1950-0010

ASSIGNOR:

GRUBBS, ROBERT H.

DOC DATE: 06/06/2000

ASSIGNOR:

SCHOLL, MATTHIAS

DOC DATE: 06/12/2000

ASSIGNEE:

CALIFORNIA INSTITUTE OF TECHNOLOGY
1200 EAST CALIFORNIA BOULEVARD
PASADENA, CALIFORNIA 91125

SERIAL NUMBER: 09576370

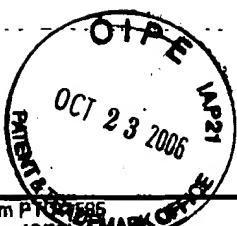
FILING DATE: 05/22/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: IMIDAZOLIDINE-BASED METAL CARBENE METATHESIS CATALYSTS

RHONDA NICOL, SUPERVISOR
ASSIGNMENT DIVISION
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700143831

Atty Dkt No. 1950-0010
Caltech No. 3021

Form P-10-565
(Rev. 10/02) ~~REMARKS~~
OMB No. 0651-0027 (exp. 6/30/2005)

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1. Name of conveying party(ies): ROBERT H. GRUBBS MATTHIAS SCHOLL		2. Name and address of receiving party: Name: CALIFORNIA INSTITUTE OF TECHNOLOGY Internal Address: _____
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Street Address: 1200 East California Boulevard
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other		City: Pasadena State: CA Zip: 91125
Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Execution Date: June 6 and 12, 2000		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____		
A. Patent Application No.(s): 09/576,370		B. Patent No.(s):
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Dianne E. Reed, Registration No. 31,292 Internal Address: _____		
6. Total number of applications and patents involved: 1		
7. Total fee (37 CFR 3.41) \$ <u>40.00</u>		
<input checked="" type="checkbox"/> Enclosed		
<input type="checkbox"/> Authorized to be charged to deposit account		
8. Deposit account number: <u>18-0580</u>		
(Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE		
9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
Dianne E. Reed, Reg. No. 31,292 Name of Person Signing		<u>Dianne E. Reed</u> Signature
		January 6, 2005 Date
Total number of pages including cover sheet, attachments, and documents: <u>3</u>		

Total number of pages including cover sheet, attachments, and documents: 3

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Form PTO-1565
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ROBERT H. GRUBBS
MATTHIAS SCHOLL

Additional names(s) of conveying party(ies) attached? Yes No

3. Nature of Conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: June 6 and 12, 2000

2. Name and address of receiving party:

Name: CALIFORNIA INSTITUTE OF TECHNOLOGY
Internal Address: _____

Street Address: 1200 East California Boulevard

City: Pasadena State: CA Zip: 91125

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s): 09/576,370

B. Patent No.(s):

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianne E. Reed, Registration No. 31,292
Internal Address: _____

Street Address: Reed Intellectual Property

Law Group

800 Menlo Avenue, Suite 210

City: PALO ALTO State: CA Zip: 94304-1126

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

18-0580

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianne E. Reed, Reg. No. 31,292

Name of Person Signing

Dianne E. Reed

Signature

January 6, 2005

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Attn.: Tanuja V. Garde
50 Fremont Street
Fifth Floor
San Francisco, CA 94105-2230

Atty. Dkt. MS -260726 CIT-3021

M#

Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application

WHEREAS, the undersigned, to wit:

1) ROBERT H. GRUBBS

3)

5)

7)

2) MATTHIAS SCHOLL

4)

6)

8)

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. PMS-260726 [CIT-3021]

and entitled: **IMIDAZOLIDINE-BASED METAL CARBENE METATHESIS CATALYSTS**

for which an application for Letters Patent of the United States

was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

was filed on May 22, 2000, Appln. No. 09/ ;

AND WHEREAS CALIFORNIA INSTITUTE OF TECHNOLOGY

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of California

and having its principal office and place of business at 1200 E. California Blvd., Pasadena, CA 91125

wishes to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNEE, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNEE hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

Signature

1)



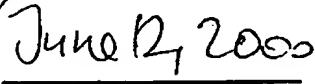
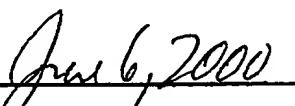
Name: ROBERT H. GRUBBS

2)



Name: MATTHIAS SCHOLL

Date Signed



Witness

